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JAN 07 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: RPS920010141US1

In re Application of

THOMAS J. PROROCK

Serial No.: 09/943,941

Filed: 31 AUGUST 2001

For: METHOD AND SYSTEM FOR  
PROVIDING INCENTIVE AWARD  
INFORMATION TO A CUSTOMER

Examiner: CARLSON, J.

Art Unit: 3622

NOTICE OF APPEAL

MS Appeal Brief - Patents  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

01/21/2005 LLI SIE 00000004 500563 09943941

01 FC:1401 500.00 Applicant hereby appeals to the Board of Patent Appeals and Interferences the Examiner's Final Rejection of claims 1-4, 9-14 as noted in the Final Office Action mailed on October 12, 2004.

CERTIFICATE OF FACSIMILE TRANSMISSION  
37 C.F.R. § 1.8

I hereby certify that this correspondence is being facsimile transmitted to the U. S. Patent and Trademark Office, Alexandria, VA, on the date below.

Date

1/7/05

Signature

*Vicky J. Dilipawoy*

The Commissioner is hereby authorized to charge IBM CORPORATION DEPOSIT ACCOUNT NO. 50-0563 in the amount of \$500.00 for this Notice of Appeal. If an additional fee is required, please charge that fee as well as any other fees necessary to further the prosecution of this application to IBM CORPORATION DEPOSIT ACCOUNT NO. 50-0563.

Respectfully submitted,



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RPS920010141US1  
2 of 2

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APPEAL BRIEF

MS Appeal Brief - Patents  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

01/21/2005 FILED 0000005 500563 09943941

01 FC:1402 500. The present Brief is submitted in support of the Appeal in the above-identified application.

Please charge IBM Corporation's Deposit Account 50-0563 in the amount of \$500.00 for the submission of the present Brief. No additional fee or extension of time is believed to be required; however, in the event an additional fee or extension of time is required, please charge that fee to IBM Corporation's Deposit Account 50-0563.

CERTIFICATE OF FACSIMILE TRANSMISSION  
37 C.F.R. § 1.8

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1/7/05  
Date

Oliver J. Dillon, Jr.  
Signature

**CONCLUSION**

For the reasons stated above, Appellant believes that the claimed invention clearly is patentably distinct over the cited references and that the rejections under 35 U.S.C. § 103 are not well-founded. Hence, Appellant respectfully urges the Board to reverse the Examiner's rejection.

Respectfully submitted,



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